



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/075,615	02/15/2002	Joseph C. Cauthen	08442.0002-02

CONFIRMATION NO. 2841

## FORMALITIES LETTER



\*OC000000007603438\*

22852

FINNEGAN, HENDERSON, FARABOW, GARRETT &  
DUNNER LLP  
1300 I STREET, NW  
WASHINGTON, DC 20005

Date Mailed: 03/08/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/07/2002 HMARZ11 00000043 10075615

01 FC:105

130.00 OP

Adjustment date: 05/10/2002 SSITHIB1

05/07/2002 HMARZ11 00000043 10075615

01 FC:105

-130.00 OP

Refund Ref:

05/10/2002 SSITHIB1 0000116935

CHECK Refund Total: \$65.00

05/10/2002 SSITHIB1 00000076 10075615

65.00 OP

01 FC:205

BEST AVAILABLE COPY



27

#3  
MP

PATENT

Customer No. 22,852

Attorney Docket No. 8442.0002-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**Joseph C. CAUTHEN**

Application No.: 10/075,615

Filed: February 15, 2002

For: SPINAL DISC ANNULUS  
RECONSTRUCTION METHOD AND  
SPINAL DISC ANNULUS STENT

Group Art Unit: 3738

Examiner: Not yet assigned

Assistant Commissioner for Patents  
Washington, DC 20231

**BEST AVAILABLE COPY**

Attention: **BOX MISSING PARTS**

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**


In response to the communication of March 8, 2002, Applicant submits a Declaration/Power of Attorney for filing in this application, the required fee of \$130.00, and a copy of the Notice of Missing Parts.

Please associate the enclosed declaration with the application, grant any extensions of time required to enter this response, and charge any additional required fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

  
Keith D. MacMillan  
Reg. No. 47,212

Dated: May 6, 2002

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
www.finnegan.com

331966\_1